## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 247791US0

First Inventor or Application Identifier | Martin KOENEMANN, et al.

Title PERVIENE DERIVATIVES AND THEIR APPLICATION AS DISPERSANTS

| (400   | for nev | w nonprovisional applications under 37 CFR 1.53(b))  | WATIVES AND THEIR APPLICATION AS DISPERSANTS USED                              |  |  |  |  |
|--|---------|--|--|--|--|--|--|
|  |         |  | Commissioner for Patents   |  |  |  |  |
| O  | See     | APPLICATION ELEMENTS  e MPEP chapter 600 concerning utility patent application contents  | ADDRESS TO: Mail Stop Patent Application Alexandria, Virginia 22313            |  |  |  |  |
| 1.   |         | Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)  | ACCOMPANYING APPLICATION PARTS   |  |  |  |  |
|  |         |  | 7. Assignment Papers (cover sheet & document(s))                               |  |  |  |  |
| 2.   |         | Specification Total Sheets 38  | 8. Application Data Sheet. See 37 CFR 1.76                                     |  |  |  |  |
|  |         |  | 9.   37 C.F.R. §3.73(b) Statement Power of Attorney                            |  |  |  |  |
| 3.   |         | Drawing(s) (35 U.S.C. 113) Total Sheets  | 10. ☐ English Translation Document (if applicable)                             |  |  |  |  |
|  |         |  | 11.  Information Disclosure  Statement (IDS)/PTO-1449  Copies of IDS Citations |  |  |  |  |
| 4.   |         | Oath or Declaration Total Pages  | 12. Preliminary Amendment  |  |  |  |  |
|  | a.      | ☐ Newly executed (original or copy)  | 13. White Advance Serial No. Postcard  |  |  |  |  |
|  | b.      | Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 17 completed)   | 14.   Certified Copy of Priority Document(s)  (if foreign priority is claimed) |  |  |  |  |
|  |         | i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b). | 15.  Applicant claims small entity status.  See 37 CFR 1.27                    |  |  |  |  |
| 5.   |         | CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  | 16. ☐ Other:   |  |  |  |  |
| 6.   |         | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  |  |  |  |  |  |
|  | a.      | ☐ Computer Readable Form (CRF)   |  |  |  |  |  |
|  | b.      | Specification or Sequence Listing on :   |  |  |  |  |  |
|  |         | i. CD-ROM or CD-R (2 copies); or   |  |  |  |  |  |
|  |         | ii. 🔲 Paper  |  |  |  |  |  |
|  | C.      | ☐ Statements verifying identity of above copies  |  |  |  |  |  |
| 17.  | If a    | CONTINUING APPLICATION, check appropriate box, and suppl   | y the requisite information below:   |  |  |  |  |
|  |         | Continuation   | in-part (CIP) of prior application no.:  |  |  |  |  |
| 1  | Prior   | application information: Examiner:   | Group Art Unit:  |  |  |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |         |  |  |  |  |  |  |
| 18. CORRESPONDENCE ADDRESS   |         |  |  |  |  |  |  |
| 10. CONNECT CREETOL ADDITION   |         |  |  |  |  |  |  |
| Customer Number  |         |  |  |  |  |  |  |
|  |         | 228  | 50   |  |  |  |  |
| (703) 413-3000<br>FACSIMILE: (703) 413-2220  |         |  |  |  |  |  |  |
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| Name: Norman F. Oblon  |         |  | Registration No.: 24,618   |  |  |  |  |
| Si   | gna     | ture: UMmMGrtlan   |  |  |  |  |  |
|  | Na      | me: C. Irvin McClelland  | Registration No.:  |  |  |  |  |

Registration Number 21,124

Docket No.

247791US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Martin KOENEMANN, et al.

SERIAL NO:

**New Application** 

FILING DATE: Herewith

FOR:

PERYLENE DERIVATIVES AND THEIR APPLICATION AS DISPERSANTS

## FEE TRANSMITTAL

**COMMISSIONER FOR PATENTS** ALEXANDRIA, VIRGINIA 22313

|   |                 | _ |    |   |                 |      |       | _        |              |
|---|-----------------|---|----|---|-----------------|------|-------|----------|--------------|
| FOR   | NUMBER<br>FILED |   |    |   | NUMBER<br>EXTRA | RATE |       |          | CALCULATIONS |
| TOTAL CLAIMS                                  | 17              | - | 20 | = | 0               | х    | \$18  | =        | \$0.00       |
| INDEPENDENT CLAIMS                            | 3               | - | 3  | = | 0               | х    | \$86  | =        | \$0.00       |
| ☐ MULTIPLE DEPENDENT CLAIMS (If applicable)   |                 |   |    |   |                 |      | \$290 | =        | \$0.00       |
| ■ LATE FILING OF DECLARATION                  |                 |   |    |   |                 |      | \$130 | =        | \$130.00     |
| BASIC FEE                                     |                 |   |    |   |                 |      |       | \$770.00 |              |
| TOTAL OF ABOVE CALCULATIONS                   |                 |   |    |   |                 |      |       | \$900.00 |              |
| ☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY |                 |   |    |   |                 |      |       | \$0.00   |              |
| ☐ FILING IN NON-ENGLISH LANGUAGE              |                 |   |    |   |                 | +    | \$130 | =        | \$0.00       |
| ☐ RECORDATION OF ASSIGNMENT                   |                 |   |    |   |                 | +    | \$40  | =        | \$0.00       |
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|     | ☐ Please charge Deposit Account No. <u>15-0030</u> in the amount of   | A duplicate copy of this sheet is enclosed.                                  |  |  |  |  |  |
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|     | ☐ A check in the amount of to cover the filing fee is enclosed.   | sed.   |  |  |  |  |  |
|     | Credit card payment form is attached to cover the filing fee in   | he amount of \$900.00  |  |  |  |  |  |
|     | The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed. |  |  |  |  |  |  |
|     | F   | Respectfully Submitted,  |  |  |  |  |  |
|     |   | DBLON, SPIVAK, McCLELLAND,<br>MAIER & NEUSTADT, P.C.                         |  |  |  |  |  |
| Dat | Date: 1/20/04   | Norman F. Oblon  |  |  |  |  |  |
|     | -   | Registration No. 24,618<br>C. Irvin McClelland<br>Registration Number 21,124 |  |  |  |  |  |

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